



Sanctions & PEP Screening: Risk Assessment from Datanomic

The Datanomic Risk Audit delivers a quantified assessment of compliance risk, based on screening a sample of an organisation's customer database.

The auditors use Datanomic's powerful Compliance Screening software and your preferred Sanctions & PEP Screening list.

The Risk Audit delivers:

- A report stating the number of Politically Exposed Persons (PEPs), financial criminals, other criminals, terrorists, disqualified directors etc in the sample and statistical projections of your possible overall exposure;
- Full Sanctions & PEP Screening list profiles for selected matches;
- A complete list of your customer matches with the screening list profile ID which enables you to conduct further investigative checks;
- A presentation of the findings of the Risk Audit, which will be co-developed and delivered with the project sponsor, to an invited audience of your Executive Management and Compliance team;
- Hard copies of the documentation: Risk Audit report, selected screening list customer profiles, Microsoft Powerpoint presentation;
- An estimate of the implementation costs and resource requirements for Compliance Screening of your full customer database.



"The results of the Risk Audit allowed us to quantify our potential exposure. The business case for an automated screening solution was suddenly compelling."

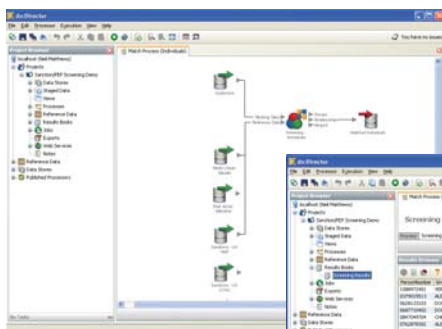


Fig.1 Configuring the process

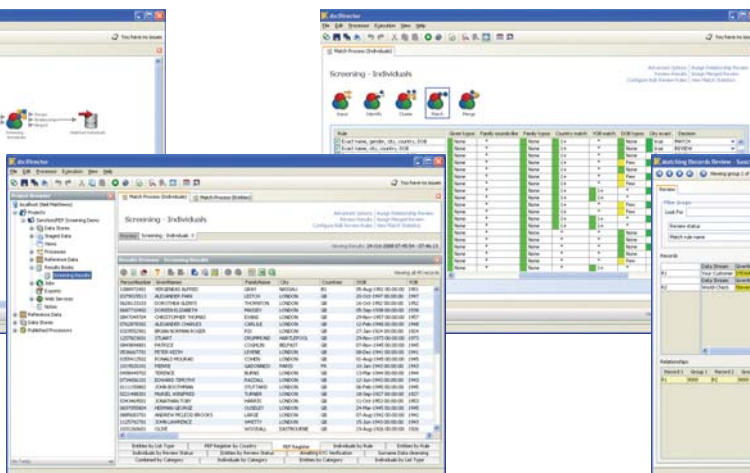


Fig.2 The screening results browser

Fig.3 Refining the rules

Fig.4 Match results and definitions

